

Securities Service Fee

Effective : 17 NOV 2023

Item	Charges (HK dollars)	Remarks
Securities Trading Services		
Brokerage Commission	Standard Fees: 0.25% on Gross Consideration (min \$100)	Subject to negotiation
Stamp duty (Collected by the HKSAR Government)	0.1% of transaction amount	Rounded up to the nearest dollar
Transaction Levy (Collected by the SFC)	0.0027% on Gross consideration	
Trading Fee (Collected by the SEHK)	0.00565% on Gross consideration	With effect from 1st January 2023
CCASS Clearing Fee (Collected by the SEHK)	0.002% on Gross consideration (min \$2; max \$100)	
Financial Reporting Council Transaction Levy	0.00015% of transaction amount (round up to the nearest cent)	With effect from 1st January 2022
Scrip handling and settlement related services		
Physical scrip deposit fee	Handling Charges – Waived \$5 per Transfer Deed (T/D)	
Physical scrip withdrawal fee	\$17.5 per lot (min \$250 per stock)	
Settlement instruction – Receive (via CCASS)	Waived	
Settlement instruction – Deliver (via CCASS)	0.25% on transaction amount (min \$500)	Based on previous closing price
Investor Settlement instruction – Receive	Waived	
Investor Settlement instruction – Deliver	Waived	
Compulsory Buy back	\$200 per transaction + CCASS default fees	Based on previous closing price
Multi-counter Eligible Securities transfer Instruction	\$10 per instruction	
Nominee services and corporate actions		
Scrip fee	\$1.5 per lot	Based on the quantity of shares held before book close date
Collection fee of cash dividend	0.5% of dividend amount (min \$20; max \$10,000)	Collected on payable date
Collection fee of scrip dividend (scrip option in lieu of cash dividend)	0.5% of dividend amount (min \$20; max \$10,000)	Collected on payable date
Collection fee of Bonus issue	\$2 per lot	Collected on payable date
Collection fee of Rights issue	Waived	
Subscription and Excess subscription for Rights, Offers	\$100 per request + \$0.8 CCASS corporation fee per lot	
Consolidation/spilt	Waived	
Fee for privatization, takeover, cash offer, covered warrant exercise, callable bull/callable bear exercise etc. warrants/callable/bull	\$50 per request + \$0.8 CCASS corporation fee per lot	
Handling charges for Unclaimed entitlements	\$500 per request + CCASS charges	No refundable of unsuccessful claim
IPO subscription handling charge	\$30 for each application	
IPO margin financing handling charges	\$100 for each application	Subject to negotiation
Fund withdrawal and other services		
HKD/CNY/USD Cheque payment	Waived	
HKD and other currency CHATS handling charges (local transfer)	\$150 or dollars equivalent for each application	
HKD and other currency Remittance handling charges	\$200 or dollars equivalent for each application	Plus correspondent bank's charges if applicable
BOC Sub-account handling charges	Waived	
Stop Cheque payment	\$200 per cheque	
Returned Cheque	\$200 per cheque	
Financing and other services		
Cash/Custody account overdue interest	Prime rate of HSBC (HK) + 7.5%	Subject to negotiation
Margin account overdue interest	Prime rate of HSBC (HK) + 3%	Subject to negotiation
IPO Margin financing	Subject to negotiable	
Account maintenance		
Custody Fee	Waived	
Account balance/ Asset Confirmation	\$200 per application	
IRD Stamp Duty services	0.05% on transaction amount (min \$1000) per side + Stamp Duty and Bank Charges (if any)	Based on previous closing price or contractual value whichever is higher
Physical Statement	\$30 per month	
Re- issue Daily / Monthly statement	Within 3 months – Waived For past 3 to 12 months - \$50 per statement For past 1 to 3 years - 100 per statement For past 3 to 7 years - \$500 per statement	
Information Services		
AA Stocks Quote Winner (Mainland China)	\$248 per month	Refer to the application form for the promotion package
AA Stocks Quote Winner (HK version)	\$390 per month	
AA Stocks Mobile Quoting Services	\$228 per month	
Mobile Quoting Services –Upgraded Version	\$318 per month	
QianLong Streaming Quote (Mainland China)	\$200 per month	
QianLong Streaming Quote (non Mainland China)	\$320 per month	

Stock Connect Services Charges

Item	Charges	Remarks
Shanghai-Hong Kong Stock Connect / Shenzhen-Hong Kong Stock Connect Trading Currency : CNY		
Brokerage	0.25% on Gross consideration (min CNY80)	Subject to negotiation
Stamp Duty	0.05% on Gross Consideration	Eligible for seller only / With effect from 28 th August 2023
Handling Fee	0.00341% on Gross Consideration	With effect from 28 th August 2023
Securities Management Fee	0.002% on Gross Consideration	
Transfer Fee	0.003% on Gross Consideration	
Collection of Cash dividend	0.5% on Gross dividend amount (min CNY16)	Collected on payable date

Custody Fee	Waived	
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Foreign Market Services Charges

Item	Charges	Remarks
Australia Trading Currency : AUD		
Brokerage	0.6% on Gross consideration (min AUD36)	Subject to negotiation
Collection of Cash dividend	Tax + 0.5% on Gross dividend amount (min AUD8)	Collected on payable date
Bank remittance charges	AUD 80 per transaction	Eligible for Settlement /Dividend in AUD only
Custody Fee	Waived	
China Shanghai B market Trading Currency : USD		
Brokerage	0.5% on Gross consideration (min USD35)	Subject to negotiation
Stamp Duty	0.05% on Gross Consideration	Eligible for seller only/ With effect from 28 th August 2023
Clearing Fee	0.002% on Gross Consideration	
Levy	0.00341% on Gross Consideration	With effect from 28 th August 2023
China Securities Regulatory Commission Levy	0.002% on Gross Consideration	
Collection of Cash dividend	0.3% on Gross dividend amount (min USD18)	Collected on payable date
Custody Fee	Waived	
China Shenzhen B shares Trading Currency : HKD		
Brokerage	0.5% on Gross consideration (minHKD300)	Subject to negotiation
Stamp Duty	0.05% on Gross Consideration	Eligible for seller only/ With effect from 28 th August 2023
Clearing Fee	0.002% on Gross Consideration (max HKD500)	
Levy	0.00341% on Gross Consideration	With effect from 28 th August 2023
China Securities Regulatory Commission Levy	0.002% on Gross Consideration	
Registered Fees	0.0004% on Gross Consideration	
Collection of Cash dividend	0.3% on Gross dividend amount (min HKD80)	Collected on payable date
Custody Fee	Waived	
Japan Trading Currency : JPY		
Brokerage	0.5% on Gross consideration (min JPY1,500)	Subject to negotiation
Bank remittance charges	JPY6,000 per transaction	Eligible for Settlement /Dividend in JPY only
Custody Fee	Waived	
Singapore Trading Currency :SGD/USD		
Brokerage	0.5% on Gross consideration (min SGD50)	Subject to negotiation
Clearing Fee	0.0325% on Gross consideration	
SGX	0.0075% on Gross consideration	
Bank remittance charges	SGD100 per transaction	Eligible for Settlement /Dividend in SGD only
Collection of Cash dividend	Tax + 1.3% admin Fee (min SGD5)	
Custody Fee	Waived	
UK Trading Currency : GBP		
Brokerage	0.6% on Gross consideration per order (min GBP60)	Subject to negotiation
Clearing	0.5% of Transaction amount	Eligible for buyer only
Other Transaction fees (if applicable)	Including : PTM Levy : Flat rate GBP 1.00 (applicable for some U.K. stock with transaction amount more than GBP 10,000) Non-CREST settlement fee : Flat rate GBP 50.00	
Bank remittance charges	GBP50 per transaction	Eligible for Settlement /Dividend in GBP only
Collection for Cash dividend	Tax + 0.5% on Gross Dividend amount (min GBP 6)	
Custody Fee	Waived	
US Trading Currency : USD		
Brokerage	0.4% on Gross consideration per order (min USD30)	Subject to negotiation
Trading Fee	0.0008% on Gross consideration	Eligible for seller only
Collection of Cash dividend	Tax + 4% admin Fee (min USD\$10)	
Custody Fee	Waived	

Bonds / OTC Fees

Item	Charges	Remarks
Custodian Fees	0.003% Nominal value per month (min USD10 or Equ.)	
Coupon Interest handling charges	0.5% of interest amount (min USD10 , max USD1,000 or Equ.)	Collected on payable date
Bonds transfer in	USD 30 or Equ.	
Bonds transfer out	USD 50 or Equ.	
Bonds redemption / Early redemption	USD 30 per transaction or Equ.	
Bonds conversation	USD 60 per transaction + out of pocket charges	
Transaction Levy	0.0027% of transaction amount	Applicable to Transaction of Bonds Listed under Chapter 37 of the Main Board Listing Rules

Funds

Item	Charges	Remarks
Subscription Fee	2% - 10%	Subject to negotiation
Redemption Fee	Waive (Individual Fund House may impose redemption fee) + Bank remittance charges	
Switching Fee	1% - 5%	
Management Fee	Refer to Fund Fact Sheet	
Transfer in	Waived	
Transfer out	HKD300 per transaction	

Remarks:

1. Odd lots are charges as board lot.
2. Additional fees may apply. Commission and fees are subject to change without notice.
3. All fees are converted into Hong Kong dollar at the bank exchange rate prevailing date and will be charged if the account did not have relevant currency and without notice.
4. Order brokerage consolidation unavailable for the US, UK market.
5. Foreign stocks transfer fee is subject to local market charges.